

STATUTORY INSTRUMENTS SUPPLEMENT

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S T A T U T O R Y I N S T R U M E N T S

2016 No. 4.

**The Capital Markets (Asset Backed Securities) (Amendment)
Regulations, 2016**

(Under section 101 (l) of the Capital Markets Authority Act, Cap. 84)

IN EXERCISE of the powers conferred upon the Capital Markets Authority by section 101 of the Capital Markets Authority Act, these Regulations are made this 3rd day of July, 2015.

1. Title.

These Regulations may be cited as the Capital Markets (Asset Backed Securities) (Amendment) Regulations, 2016.

2. Amendment of S.I. No. 46 of 2012.

The Capital Markets (Asset Backed Securities) Regulations, in these Regulations referred to as the principal Regulations, are amended in regulation 85 by inserting immediately after the word “fees” the words “in Schedule III”.

3. Insertion of new Schedule III in the principal Regulations.

The principal Regulations are amended by inserting immediately after Schedule II, the following—

“SCHEDULE III

Regulation 85

APPROVAL FEES FOR ASSET BACKED SECURITIES

ITEM	MATTER	FEES (Ug Shillings)
1.	For submission of an information memorandum for approval of an Asset Backed Security	0.2% of the value of the issue subject to a minimum of 10,000,000/= and a maximum of 500,000,000/=
2.	For re-submission of a prospectus due to material omissions or discrepancies identified by the Authority after the initial review at the first submission	4,000,000/=

GRACE JETHRO KAVUMA,
Chairperson, Capital Markets Authority.